



DALLAS AREA COMPLIANCE ASSOCIATION

November 2017

President's Message By Chris Suarez

Dear Friends and Colleagues,

Let me begin by thanking each of you who participated in our 2017 DACA Conference: Cowboys and Compliance III. We had a great turn-out and a full day of invaluable information, capped off with a captivating presentation by Drew Pearson. Additionally, I'd like to congratulate our VP of Conferences, Gary Schweers, and the conference committee for all of their hard work.

Please make plans to join us on November 15th for our last General Meeting of 2017. Chris Siddons with LexisNexis will be giving us an overview of the FinCEN CDD Rule & Beneficial Ownership. It's one of the hottest issues right now, and you won't want to miss this chance to get extra training and ask questions.

Additionally, the November General Meeting is our annual business meeting, which is when the new Board is elected and bylaw changes are approved by the membership. This year it is especially important for members to come and vote, because the DACA board is proposing some changes to the membership structure to give more flexibility to individuals and organizations who join DACA. In the coming days you will receive a written summary of the proposed changes and a copy of the proposed bylaw revision to be voted on in November. If you are a general member and are unable to attend, please be sure to complete and submit a proxy, included in this newsletter, to have your vote counted.

Thank you to all of you who have made 2017 one of the best years ever in DACA's history. I have been proud to serve as DACA president this year, and I truly believe that we have become Better Together.



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General Meeting

Who? Chris Siddons
What? CDD Rule
When? Nov 15 @ 11:30am
Where? Crowne Plaza Hotel
14315 Midway Rd, Addison

[Click Here to RSVP & Pay Online](#)

Hope you enjoyed tailgating at the Annual DACA Conference!

American Bankers Association (ABA) Professional
Certifications has approved Dallas Area Compliance
Association 26th Annual Conference held on
9/27/2017 for the following continuing education cred-
its: CRCM Designation 5.75 Credit Hours



**We want to hear from you. What ideas do
you have for the 2018 Compliance Confer-
ence? Email your ideas to
Jerrica.anderson@texasrepublicbank.com**



An Overview of the FinCEN CDD Rule & Beneficial Ownership

Join us as Chris Siddons with LexisNexis discusses the 5th Pillar of an AML Program and the impacts on your business. Are you ready to collect, verify, screen, store, and monitor?

Meeting from 11:30am to 1:30pm to allow networking and questions but you are welcome to leave anytime.



Chris has over 20 years in financial services in a variety of client service, operational, compliance and consulting roles. Based in Dallas/Fort Worth, he is responsible for developing holistic solution strategies for financial crime compliance. Prior to joining LexisNexis, Chris was responsible for developing, implementing and auditing AML/Sanctions compliance programs and technology for employer and client financial institutions. Chris is an innovator, leveraging data and technology to develop creative solutions to compliance risk management challenges facing financial institutions today. Most recently, he's focused on sanctions model validation and client screening tuning/optimization methodologies for large financial institutions. Chris routinely leads industry discussions on best practices in financial crimes compliance.

General Meeting

Who? Chris Siddons

What? CDD Rule

When? Nov 15 @ 11:30am

Where? Crowne Plaza Hotel
14315 Midway Rd, Addison

Menu

- ⇒ Field Greens Salad
- ⇒ Slow Cooked Pot Roast
- ⇒ Shallot Whipped Potatoes
- ⇒ Green Beans Almandine
- ⇒ Pumpkin Cheesecake





**DALLAS AREA
COMPLIANCE
ASSOCIATION**

Reservation Request November General Meeting

Reservation & Cancellation must be received by Friday, November 10, 2017

Contact Gene Collett, Vice President Programs

Email: gene.collett@fisglobal.com

Phone: 214.862.5815

Fax: 866.582.6800

RSVP Now

Cost:	Member, General & Associate	\$25.00
	Non-Member & Guest	\$45.00

If you work for a member institution but you are not a member yourself, you must pay the non-member fee.



**Avoid the long line,
pay online.**

Please register and pay online at www.dacaonline.org or email your reservation to reservations@dacaonline.org with the names of those attending, the institution you represent, and whether attendees are DACA members. You may pay upon arrival by check or credit card. All reservations will be confirmed by email. If you do not receive a confirmation within a day, please assume the message was not received, and re-send or call your reservation.

Please note the deadline for making a reservation is the Friday before the Wednesday meeting. If you do not make a reservation and come to the meeting, we will make every effort to accommodate you. However, we may ask you to wait until 11:45 a.m. to be seated to allow us time to accommodate those who have made a reservation. If you have made a reservation and have not signed in by 11:45 a.m., your seat may be made available to those who have arrived without a reservation.

If you make a reservation and need to cancel it, you must do so by the Friday before the Wednesday meeting. Otherwise, you will be responsible for the cost as DACA must pay for the lunch.

2017 Board Members

Chris Suarez, PlainsCapital Bank
President

Gene Collett, FIS
Vice President Programs & Hospitality

Susie Janssen, Bank of DeSoto
Vice President Membership Director

Gary Schweers, Moss Adams
Vice President Conference

Rhonda Coggins, Sheshunoff Consulting + Solutions
Vice President Technology

Jerrica Anderson, Texas Republic Bank
Vice President Communications

Bonnie Nichols, Texas Security Bank
Treasurer

Debby Adair, Santander Consumer USA
Corresponding Secretary

Alta Mantsch, Pegasus Bank
Scholarship Coordinator

Linda Crank, Happy State Bank
Director

Shana Jones, The American National Bank of Texas
Director

Stephanie Moushon, Legacy Texas Bank
Director

James McGee, Colonial Savings
Director



Business Meeting

November is DACA's annual business meeting. It is extremely important that General Members are present in order to have a quorum. If you are a General Member and will not be able to attend the November meeting, please complete proxy statement on page 6 and email to Jerrica.anderson@texasrepublicbank.com



Are you looking for a new job opportunity? Check out DACA's current job listings. [Click Here!](#)



DALLAS AREA COMPLIANCE ASSOCIATION, INC.

PROXY STATEMENT

The undersigned hereby appoints Chris Suarez, DACA President, as proxy, with power to appoint his substitute, and hereby authorizes him to represent and to vote, as designated below, at the 2017 annual meeting of the Dallas Area Compliance Association to be held November 15, 2017, or any adjournment thereof. By signing and dating the lower portion of this form, the undersigned authorizes the proxy to vote each proposal as marked, or if not marked then to use her discretion to vote each matter as may properly come before the meeting.

INSTRUCTIONS: If you do not intend to personally attend the meeting, please complete the following proxy statement and return to chris.suarez@plainscapital.com.

Deadline - November 14, 2017, by 5:00 p.m.

	FOR	AGAINST
To consider and act upon the slate presented for the 2018 Board of Directors.		
	FOR	AGAINST
To consider and act upon applicable bylaw changes.		

I certify that I am the General Member from my organization.

Organization Name (printed)

Member Name (printed)

Signature

Date

	DACA 2018 Board Nominee	Organization
1	Alta Mantsch	Pegasus Bank
2	Bonnie Nichols	Texas Security Bank
3	Chris Suarez	PlainsCapital Bank
4	Deborah "Debby" Adair	Santander Consumer USA
5	Gary Schweers	Moss Adams LLP
6	Gene Collett	Fidelity National Information Services
7	James McGee	Colonial Savings
8	Jerrica Anderson	Texas Republic Bank
9	Linda Crank	Happy State Bank
10	Rhonda Coggins	Sheshunoff Consulting + Solutions
11	Shana N. Jones	The American National Bank of Texas
12	Rache Barnett	Legacy Texas
13	Susie Janssen	Bank of Desoto

Kay Leaks' Scholarship Program

Are you looking to enhance your career or further your compliance knowledge, but your budget is tight? DACA is committed to promoting the professional development, education and career development of the Association's membership by encouraging professional certification in the field of regulatory compliance. What does this mean for your education? You could be eligible to apply for a Kay Leaks' Scholarship.



Scholarship Eligibility

At the time the scholarship is applied for, awarded, and throughout its disbursement, the scholarship applicant (the "Applicant") must be a General Member of Associate Member of DACA, in good standing as those terms are thereby defined in DACA's by-laws, and shall remain an active member. Applicant's length of membership must consist of at least 12 continuous months prior to the submission date of the scholarship application (the "Application"). At the discretion of the Scholarship Committee, funds may be awarded to "nonmembers" employed by an institution or business that is a General Member. DACA will be offering scholarship funds to non-DACA associates of member institutions.



Applicable certification programs that have been identified by the Scholarship Committee include, but are not limited: BSACS, CAMS, CBAP, CIPP, CRCM, CUCE, ACFCS, and CFE. Scholarship documents and applications can be downloaded at the DACA website. The completed, signed and dated scholarship application and all required attachments can be scanned and submitted to: scholarships@dacaonline.org

2017 Calendar

January 2017						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	GM	26	27	28
29	30	31				

February 2017						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	Brd	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

March 2017						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	GM	23	24	25
26	27	28	29	30	31	

April 2017						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	Brd	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29/30

May 2017						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	GM	25	26	27
28	29	30	31			

June 2017						
S	M	T	W	T	F	S
				1	2	3
4	5	Brd	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

July 2017						
S	M	T	W	T	F	S
1/2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	GM	27	28	29
30	31					

August 2017						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	Brd	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

September 2017						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	Conf	28	29	30

October 2017						
S	M	T	W	T	F	S
1	2	Brd	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

November 2017						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	GM	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December 2017						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	Brd	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30/31

GM – General Meeting Brd – Board Meeting Conf – Annual Compliance Conference

Dallas Area Compliance Association

Membership Application

Please fill in all blanks and return this form with your membership payment.

Member Information

MEMBERSHIP TYPE	<input type="checkbox"/> General Member \$150	<input type="checkbox"/> Associate Member \$100
APPLICANTS NAME		
INSTITUTION		
ASSET SIZE		
ADDRESS		
CITY, STATE, ZIP		
WORK PHONE		
EMAIL ADDRESS		
JOB TITLE		
CERTIFICATIONS		
PRIMARY REGULATOR		

Membership Definitions: Each institution must have one membership that is designated as the "General Member". This membership category have voting privileges. In addition to its "General Member", each institution may have one or more memberships that are designated as an "Associate Member". This membership category does not have voting privileges.

Remittance Details

1. Make Check Payable To: Dallas Area Compliance Association
2. Mail Form & Check To: Bonnie J Nichols, C/O Texas Security Bank
3212 Beltline Rd, Farmers Branch, TX 75234

Total Enclosed: _____ Signature: _____

RETURN MEMBERSHIP APPLICATION WITH PAYMENT